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FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. APPLICATION NO. FILING DATE 09/901,920 07/09/2001 Robert J. Mos 01-SEM/108 DIV B 8617 **EXAMINER** 22890 7590 04/28/2006 RICHARD D. CLARKE PARTHASARATHY, PRAMILA LAW OFFICE OF RICHARD D. CLARKE ART UNIT . PAPER NUMBER 3755 AVOCADO BLVD., #1000 LA MESA, CA 91941-7301 2136

DATE MAILED: 04/28/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

# **Advisory Action**

Application No.	Applicant(s)	
09/901,920	MOS ET AL.	
Examiner	Art Unit	
Pramila Parthasarathy	2136	

Before the Filing of an Appeal Brief --The MAILING DATE of this communication appears on the cover sheet with the correspondence address --THE REPLY FILED 10 April 2006 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE. 1. The reply was filed after a final rejection, but prior to or on the same day as filing a Notice of Appeal. To avoid abandonment of this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114. The reply must be filed within one of the following time periods: a) The period for reply expires <u>3</u> months from the mailing date of the final rejection. b) The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection. Examiner Note: If box 1 is checked, check either box (a) or (b). ONLY CHECK BOX (b) WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f). Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). NOTICE OF APPEAL 2. The Notice of Appeal was filed on \_ . A brief in compliance with 37 CFR 41.37 must be filed within two months of the date of filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a). **AMENDMENTS** 3. The proposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will not be entered because (a)⊠ They raise new issues that would require further consideration and/or search (see NOTE below); (b) They raise the issue of new matter (see NOTE below); (c) They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or (d) They present additional claims without canceling a corresponding number of finally rejected claims. NOTE: \_\_\_\_\_. (See 37 CFR 1.116 and 41.33(a)). 4. The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324). 5. Applicant's reply has overcome the following rejection(s): 6. Newly proposed or amended claim(s) \_\_\_\_\_ would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s). 7. X For purposes of appeal, the proposed amendment(s): a) X will not be entered, or b) . will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended. The status of the claim(s) is (or will be) as follows: Claim(s) allowed: 47. Claim(s) objected to: Claim(s) rejected: 48. Claim(s) withdrawn from consideration: \_\_\_ AFFIDAVIT OR OTHER EVIDENCE 8. The affidavit or other evidence filed after a final action, but before or on the date of filing a Notice of Appeal will not be entered because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary and was not earlier presented. See 37 CFR 1.116(e). 9. The affidavit or other evidence filed after the date of filing a Notice of Appeal, but prior to the date of filing a brief, will not be entered because the affidavit or other evidence failed to overcome all rejections under appeal and/or appellant fails to provide a showing a good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1). 10. The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached. REQUEST FOR RECONSIDERATION/OTHER 11. X The request for reconsideration has been considered but does NOT place the application in condition for allowance because: See attachment. 12. 🔲 Note the attached Information Disclosure Statement(s). (PTO/SB/08 or PTO-1449) Paper No(s). 🔃 13. ☐ Other: . CHRISTOPHER REVAK

U.S. Patent and Trademark Office

PTOL-303 (Rev. 7-05)

### **Advisory Action**

- 1. This office action is in response to the after-final request for reconsideration filed on April 10, 2006.
- 2. The terminal disclaimers filed on 4/10, 2006 disclaiming the terminal portion of any patent granted on this application which would extend beyond the expiration date of US patent 6,260,146 and US patent 5,770,846 have been reviewed and are accepted. The terminal disclaimer has been recorded.

#### Specification

- 3. The substitute specification filed on April 10, 2006 has not been entered.
- 4. Applicant's request for consideration has been fully considered but they are not persuasive because of the following reasons:

The amendment filed on 4/10/2006 is objected to under 35 U.S.C. 132(a) because it introduces new matter into the disclosure. 35 U.S.C. 132(a) states that no amendment shall introduce new matter into the disclosure of the invention. The added material which is not supported by the original disclosure is as follows: "A medium fixed to a chip card fashioned in such a way is known in the smart card applications industry as defining a hybrid card, or more specifically, a hybrid chip card". Instant application

original specification discloses, "a card to which the magnetic medium has been fixed" and "a magnetic stripe, is fixed to the exterior of a chip card". See instant application page 20 and lines 17 – 23.

Hybrid chip card, contact or contactless functionality incorporated into a single card design, wherein the card contains either 2 chips with separate interfaces or a single processor supporting multiple interfaces. Hybrid cards may contain both a contact chip and a contactless chip. Instant application original specification does not include hybrid chip card or hybrid card.

Applicant is required to cancel the new matter in the reply to this Office Action.

## Claim Rejections - 35 USC § 112

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

5. Claim 48 is rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such, a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

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Amended Claim 48 recites, "a magnetic stripe located on a chip card", which is not supported in the instant application original specification.

#### Allowable Subject Matter

- 6. In view of the Terminal Disclaimer filed on 4/10/2006, Claim 47 is allowed.
- 7. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <a href="http://pair-direct.uspto.gov.Should">http://pair-direct.uspto.gov.Should</a> you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).